

10/26/01
062 U.S. PTO

12-10-01

Please type a plus sign (+) inside this box. → +

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37C F.R. §1 53(b))

Attorney Docket No. PC11053AMAG

First Named Inventor or Application Identifier Kevin K. Liu, et al

Title GLUCOCORTICOID RECEPTOR MODULATORS

Express Mail Label No. EL911724821US

10/26/01
062 U.S. PTO**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. Specification [Total Pages 83]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference in Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. 11.3) [Total sheets]

4. Oath or Declaration [Total pages]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR §1.63(d))
 (for continuation/divisional with Box 17 completed)
 [Note Box 5 below]

- i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5. Incorporation By Reference (useable if Box 4b is checked)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

6. Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & document(s))

9. 37 C.F.R. §3.73(b) Statement Power of Attorney
(when there is an assignee)

10. English Translation Document (if applicable)

11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

12. Preliminary Amendment

13. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. *Small Entity Statement filed in prior application, Statement(s) (PTO/SB/09-12) Status still proper and desired

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Other: Priority Claim of U.S. Provisional Application No. 60/243,993 filed October 28, 2000.

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No: /

Prior application information: Examiner _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)					<input type="checkbox"/> Correspondence address below
Name	Gregg C. Benson					
Address	Pfizer Inc.					
Address	Patent Department, MS 4159, Eastern Point Road					
City	Groton	State	CT	Zip Code	06340	
Country	United States Of America	Telephone	1-(860)-441-4901	Fax	1-(860)-441-5221	
NAME (Print/type)	Martha A. Gammill		Registration No. (Attorney/Agent)	31,820		
Signature	<i>Martha A. Gammill</i>		Date	10/26/01		

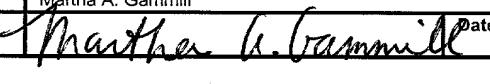
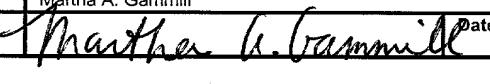
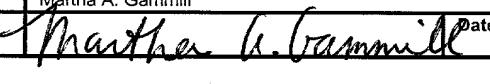
FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1., 2001.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

Total Amount of Payment (\$1,118.00)

METHOD OF PAYMENT (check one)						FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																																																																																																																																																																					
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ADDITIONAL FEES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: left; width: 30%;">Large Entity</th> <th colspan="2" style="text-align: left; width: 30%;">Small Entity</th> <th colspan="2"></th> <th colspan="2"></th> <th colspan="2"></th> <th colspan="2"></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th colspan="2">Fee Description</th> <th colspan="2"></th> <th colspan="2"></th> <th colspan="2">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td colspan="2">Surcharge – late fee or oath</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td colspan="2">Surcharge–late provisional filing fee or cover sheet</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td colspan="2">Non-English specification</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td colspan="2">For filing a request for reexamination</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td colspan="2">Requesting publication of SIR prior to Examiner action</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td colspan="2">Requesting publication of SIR after Examiner action</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td colspan="2">Extension for reply within first month</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td colspan="2">Extension for reply within second month</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td colspan="2">Extension for reply within third month</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td colspan="2">Extension for reply within fourth month</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980</td> <td colspan="2">Extension for reply within fifth month</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td colspan="2">Notice of Appeal</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td colspan="2">Filing a brief in support of an appeal</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td colspan="2">Request for oral hearing</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td colspan="2">Petition to institute a public use proceeding</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td colspan="2">Petition to revive - unavoidable</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>141</td> <td>1,280</td> <td>241</td> <td>640</td> <td colspan="2">Petition to revive - unintentional</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>142</td> <td>1,280</td> <td>242</td> <td>640</td> <td colspan="2">Utility issue fee (or reissue)</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>143</td> <td>460</td> <td>243</td> <td>230</td> <td colspan="2">Design issue fee</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>144</td> <td>620</td> <td>244</td> <td>310</td> <td colspan="2">Plant issue fee</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td colspan="2">Petitions to the Commissioner</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td colspan="2">Petitions related to provisional applications</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td colspan="2">Submission of Information Disclosure Statement</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td colspan="2">Recording each patent assignment per property (times number of properties)</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>146</td> <td>740</td> <td>246</td> <td>370</td> <td colspan="2">Filing a submission after final rejection (37 CFR 1.129(a))</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>149</td> <td>740</td> <td>249</td> <td>370</td> <td colspan="2">For each additional invention to be examined (37 CFR 1.129(b))</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td colspan="6">Other Fee (specify)</td> <td colspan="6"></td> </tr> <tr> <td colspan="6">Other Fee (specify)</td> <td colspan="6"></td> </tr> <tr> <td colspan="6" style="text-align: right;">*Reduced by Basic Filing Fee Paid</td> <td colspan="6" style="text-align: right;">SUBTOTAL (3) (\$)</td> </tr> <tr> <td colspan="6" style="text-align: right;">0</td> <td colspan="6" style="text-align: right;">0</td> </tr> <tr> <td colspan="6">SUBMITTED BY</td> <td colspan="6" style="text-align: center;">Complete (if Applicable)</td> </tr> <tr> <td>Type or Printed Name</td> <td colspan="5">Martha A. Gammill</td> <td>Reg. Number</td> <td colspan="5">31,820</td> </tr> <tr> <td>Signature</td> <td colspan="5">  </td> <td>Date</td> <td colspan="5">10/26/01</td> </tr> <tr> <td colspan="6"></td> <td>Deposit Account User ID</td> <td colspan="5">16-1445</td> </tr> </tbody> </table>						Large Entity		Small Entity										Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description						Fee Paid		105	130	205	65	Surcharge – late fee or oath								127	50	227	25	Surcharge–late provisional filing fee or cover sheet								139	130	139	130	Non-English specification								147	2,520	147	2,520	For filing a request for reexamination								112	920*	112	920*	Requesting publication of SIR prior to Examiner action								113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action								115	110	215	55	Extension for reply within first month								116	400	216	200	Extension for reply within second month								117	920	217	460	Extension for reply within third month								118	1,440	218	720	Extension for reply within fourth month								128	1,960	228	980	Extension for reply within fifth month								119	320	219	160	Notice of Appeal								120	320	220	160	Filing a brief in support of an appeal								121	280	221	140	Request for oral hearing								138	1,510	138	1,510	Petition to institute a public use proceeding								140	110	240	55	Petition to revive - unavoidable								141	1,280	241	640	Petition to revive - unintentional								142	1,280	242	640	Utility issue fee (or reissue)								143	460	243	230	Design issue fee								144	620	244	310	Plant issue fee								122	130	122	130	Petitions to the Commissioner								123	50	123	50	Petitions related to provisional applications								126	180	126	180	Submission of Information Disclosure Statement								581	40	581	40	Recording each patent assignment per property (times number of properties)								146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))								149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))								Other Fee (specify)												Other Fee (specify)												*Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$)						0						0						SUBMITTED BY						Complete (if Applicable)						Type or Printed Name	Martha A. 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